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PATENT

Case Docket No. SURGIF.001A

Date: June 23, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Richard J. Harp
Appl. No. : 10/675,068
Filed : September 29, 2003
For : SHIELDED RECIPROCATING
SURGICAL FILE
Examiner : Unknown
Group Art Unit : 3731

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 23, 2004

(Date)

James W. Hill, M.D., Reg. No. 46,396

TRANSMITTAL LETTER

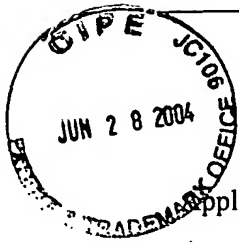
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 listing three (3) references.
- (X) A copy of the PCT International Search Report from a counterpart International Application.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

James W. Hill, M.D.
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant	:	Richard J. Harp
App. No.	:	10/675,068
Filed	:	September 29, 2003
For	:	SHIELDED RECIPROCATING SURGICAL FILE
Examiner	:	Unknown
Group Art Unit	:	3731

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing three references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Supplemental Information Disclosure Statement. A copy of the International Search Report from the counterpart foreign application is enclosed herewith.

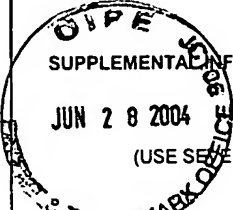
Respectfully submitted,
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: _____

6/23/04

By: _____

James W. Hill, M.D.
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. SURGIF.001A	APPLICATION NO. 10/675,068
 <p>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>		APPLICANT Richard J. Harp	
		FILING DATE September 29, 2003	GROUP 3731

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,978,862	09/07/76	Morrison			
	2	4,867,155	09/19/89	Isaacson			
	3	5,846,244	12/08/98	Cripe			
	4						
	5						
	6						
	7						
	8						
	9						
	10						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11							
	12							
	13							
	14							
	15							
	16							

EXAMINER
INITIAL

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	17	
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	20	
	21	

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062204

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.